

P1 1175735

REC'D 01 JUN 2004
WIPO PCT

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

May 26, 2004

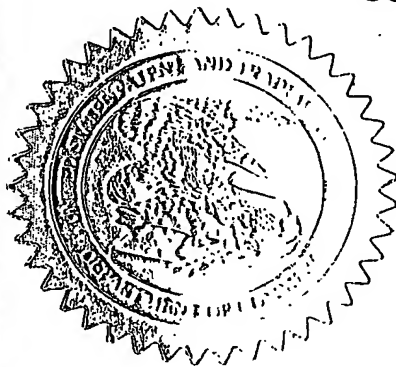
THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/460,551

FILING DATE: April 04, 2003

RELATED PCT APPLICATION NUMBER: PCT/US04/10280

By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS



M. SIAS
Certifying Officer

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

04/04/03
Jc951 U.S. PTO

(fee 10/96)

04-07-0360460551-011710-1
Approved for use through 04/11/98. OMB 0651-0037
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PROVISIONAL APPLICATION COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53 (b)(2).

A/PROV

11040 U.S. PTO
60/460551

Docket Number		D-3093		Type a plus sign (+) inside this box -	+
INVENTOR(s)/APPLICANT(s)					
LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)		
GROTHJAHN LEV	DOUGLAS DANIEL	BRYAN A.	SAN DIEGO, CA SAN DIEGO, CA		
TITLE OF THE INVENTION (280 characters max)					
LIGANDS, TRANSITION METAL COMPLEXES AND METHODS OF SAME					
CORRESPONDENCE ADDRESS					
4 VENTURE, SUITE 300 IRVINE, CA 92618					
STATE	CA	ZIP CODE	92618	COUNTRY	USA
ENCLOSED APPLICATION PARTS (check all that apply)					
X	Specification	Number of Pages	21	X	This Application is to be accorded Small Entity Status
	Drawing(s)	Number of Sheets			Other(specify)
METHOD OF PAYMENT (check one)					
A check or money order is enclosed to cover the Provisional filing fee				PROVISIONAL FILING FEE AMOUNT (\$)	\$80
The Commissioner is hereby authorized to charge filing fees and credit deposit Account Number:					

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.
☒ No.

☐ Yes, the name of the U.S. Government agency and the Government contract number are:
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:
Frank J. Uxa, Reg. No. 25,612, Donald E. Stout, Reg. No. 34,493; Robert D. Buyan, Reg. No. 32,460; Kenton R. Mullins, Reg. No. 36,331; Jo Anne M. Ybaban, Reg. No. 42,243, Linda Allyson Fox, Reg. No. 38,883, Kyle D. Yesland, Reg. No. 45,526, Greg S. Holtrigel, Reg. No. 45,374, Louse S. Helm, Reg. No. 32,337 and Ketan S. Vakli, Reg. No. 43,215

Respectfully submitted,

SIGNATURE

Frank J. Uxa

Date

4/4/03

☐ Additional inventors are being named on separately numbered sheets attached hereto

PROVISIONAL APPLICATION FILING ONLY
SEND TO: Commissioner of Patents, Washington, DC 20231

D-3093PROV

LIGANDS, TRANSITION METAL
COMPLEXES AND METHODS OF SAME

5 Background of the Invention

 The present invention relates to ligands, transition metal complexes including the ligands, and methods of using the ligands and transition metal complexes. More particularly, the invention relates to
10 ligands including first and second heteroatoms, transition metal complexes of such ligands, and methods of using the ligands and complexes, for example, to facilitate chemical reactions, such as hydration of nitriles or terminal alkynes, or the hydrolysis of
15 amides.

 Medicinal chemists and biochemists want to know how amino acids are arranged in proteins, so that they can better understand the correlation between structures and the functions of drugs. One of the techniques used to
20 accomplish the task of protein structure determination requires the breaking of amide bonds to liberate the amino acids. However, at physiological temperatures and pH 9, it takes an impractical length of time, for example, 168 years, to break half the amide bonds in a
25 sample. In contrast, organisms found in nature have remarkably efficient systems to make and break amide bonds. Scientists have used natural enzymes such as carboxypeptidase to do the task of amide bond cleavage.

 In some cases, it is believed that the crucial step
30 in amide bond cleavage involves proton transfer between imidazole, a carboxylate, and the amide undergoing hydrolysis, while other enzymatic systems involve a metal-catalyzed amide bond cleavage such as that seen in the zinc(II)-metalloprotease. However, existing
35 enzymatic systems can be very complicated and sometimes

difficult to handle due to their sensitivity to temperature and pH.

Amide hydrolysis has been catalyzed not only by enzymes, but also by acids, bases, and metal ions. These systems take advantage of one or more possible factors, which facilitate amide bond cleavage. First, the amide bond cleaving reagent or catalyst could act as a proton transfer reagent, which can be an important factor in amide bond hydrolysis. Secondly, a metal may catalyze or mediate amide hydrolysis by acting as a Lewis acid through O-complexation, delivery of a metal-coordinated hydroxide or a combination of the latter two processes.

The importance of nitrile hydration is shown by the industrial hydrolysis of acrylonitrile, which is used to make acrylic acid which, in turn, can be converted to a variety of esters such as methyl, ethyl, butyl, and 2-ethylhexyl acrylates. The acrylates can then be used as co-monomers with methyl methacrylate and/or vinyl acetate to give polymers for water-based paints, among other products. A number of industrial methods exist for obtaining acrylic acids from nitriles and one of the more economical methods is the direct hydrolysis of the acrylonitrile to the acrylic acid. However, this synthetic route involves the use of a stoichiometric amount of sulfuric acid to produce the acrylamide sulfate, which is then treated with an alcohol to give the acrylic ester. It would be advantageous to provide a direct route from the acrylonitrile and alcohol to yield the desired acrylate without the need to use and then neutralize a strong acid by using, for example, an efficient reaction facilitator, e.g., a catalyst.

An example of an environmentally desirable method of conducting organic synthesis involves the addition reactions of water or amines to unsaturated hydrocarbons. For example, the metal-catalyzed

hydration of alkynes is an important route to carbonyl compounds. The use of water in such syntheses has the additional advantages of ease of use, safety, and economic savings. Most metal-catalyzed hydrations of 1-alkynes follow Markovnikov addition to give ketones. In addition, as petroleum resources dwindle and the need to control the emissions of carbon dioxide into the environment increases, use of carbon dioxide as a feedstock becomes more desirable. It would be advantageous to provide new materials which are useful to facilitate carbon dioxide conversion, for example, to carbonates, carbamates and ureas.

Anti-Markovnikov addition of water to alkynes has been reported which produces aldehydes and a small amount of ketones. See, for example, Tokunaga, M., et al. *Angew. Chem. Int. Ed.*, 37(20), 2867-2869 (1998); JP 11319576. These catalytic reactions occur at elevated temperatures, for example, at 100 to 130 degrees C for 12 to 24 hours. Maintaining an elevated temperature for the duration of these reactions can require a substantial amount of energy.

What is needed are reaction facilitators, e.g., catalysts, promoters and the like, that mimic enzymatic systems in their hydrogen-bonding and/or proton transfer abilities, and are robust, simple to handle, easily produced and operate efficiently at room temperature.

Summary of Invention

New organic ligands, transition metal complexes including such ligands and methods for using the ligands and complexes have been discovered. The present ligands and transition metal complexes can be produced using relatively straightforward synthetic chemistry techniques. Moreover, the structures of the present ligands and metal complexes can be effectively selected

or even controlled, for example, in terms of proton transfer ability and/or hydrogen bonding ability, thereby providing ligands and complexes with properties effective to facilitate one or more chemical reactions.

5 Thus, the present metal complexes can be effectively used to facilitate, for example, catalyze, promote, and the like, various chemical reactions, such as hydrolysis, alcoholysis, aminolysis, carbon dioxide conversion, hydroamination and hydration reactions.

10 Importantly, at least some of the present ligands and transition metal-ligand complexes are effective to catalyze such reactions efficiently at room temperature.

In one broad aspect of the present invention, compositions are provided which comprise at least one

15 organic ligand and a transition metal partially complexed by the organic ligand.

The present organic ligands include a first heteroatom and a second heteroatom. The first and second heteroatoms may be covalently bonded to each

20 other or, in a preferred embodiment, are separated one from the other by at least one atom, for example, a carbon atom. When the present organic ligands are complexed to a transition metal, one or both of the first and second heteroatoms may be covalently bonded to

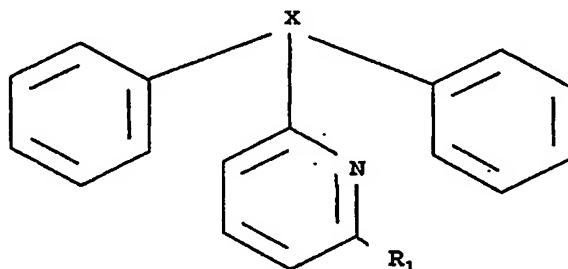
25 the transition metal. In particular, each of the first and second heteroatoms presents a lone pair of electrons that can be free (unbonded), protonated, occasionally or temporarily bonded to an aforementioned transition metal, e.g., through a coordinate covalent bond, or

30 hydrogen bonded to a second molecule, e.g., water. This variability in functionality affords the desired cooperativity sought in a ligand of the invention, especially whenever catalytic activity is desired.

Representative organic ligands in accordance with

35 the present invention are shown by the following structures, wherein "R₁" is selected from hydrogen or

alkyl or aryl. In a particularly useful embodiment, R¹ is t-butyl. X is a heteroatom which may be, for example, a nitrogen atom (N), an oxygen atom (O), or a sulfur atom (S). In one particularly useful embodiment, X is a phosphorus atom (P).



The present organic ligands can be very effectively structured and adapted to control the proton transfer ability and/or hydrogen bonding ability of the transition metal complex of which the ligand is a part. In other words, the present ligands can be selected to obtain the desired degree of proton transfer ability and/or hydrogen bonding ability so that the resulting transition metal complex is highly effective in performing a desired chemical transformation, for example, hydrolysis, alcoholysis, aminolysis, carbon dioxide conversion, and addition of water, alcohols, ammonia or amines to alkenes and alkynes. Such reactions are typically performed by a cooperativity between one heteroatom binding the transition metal and a second heteroatom of the ligand performing H atom transfers with one or more reactants.

In an additional broad aspect of the present invention, methods for reacting alkenes or alkynes with water, alcohols, ammonia or amines are provided. Such methods comprise contacting the reactants in the presence of a transition metal complex of the invention

in an amount effective to facilitate the desired reaction to one or more desired products. The contacting occurs at effective reaction conditions. In a particularly preferred method, terminal alkynes are
5 catalytically converted to aldehydes with high selectivities at or near neutral pH.

Each feature and combination of two or more features described herein are included within the scope of the present invention provided that any two features
10 of any such combination are not mutually inconsistent or incompatible.

These and other aspects and advantages of the present invention are set forth in the following detailed description, examples and claims.

15

Detailed Description

The present invention relates to ligands, transition metal complexes including the ligands, and methods of using the ligands and transition metal
20 complexes.

Ligands of the invention may include a first heteroatom which may be located one carbon atom away from a second heteroatom. Exemplary heteroatoms include nitrogen atoms (N), oxygen atoms (O), sulfur atoms (S),
25 phosphorus atoms (P), arsenic atoms (As), and antimony atoms (Sb). In one particularly useful embodiment of the invention, at least one of the first and second heteroatoms is a nitrogen atom (N).

In one embodiment, an organic ligand of the invention includes at least one nitrogen heterocycle, for example, a substituted or unsubstituted six-membered heterocycle. For example, one or more substituted or unsubstituted pyridine rings may be included in a
30 ligand.

In one aspect, a ligand of the invention may be
35 neutral in charge. The ligand may join two or more

heteroatoms separated by at least one intervening atom. At least one of the heteroatoms may bind to a transition metal with another heteroatom substantially free to interact with one or more reactant molecules or intermediates in the catalytic reaction, e.g., water or alkyne. Such ligands are conveniently but not only provided by covalently linking one or more heterocyclic ring(s) to one or more heteroatom(s) outside the ring. The heteroatom(s) outside the first heterocycle can also be present in a ring structure or not present in a ring structure.

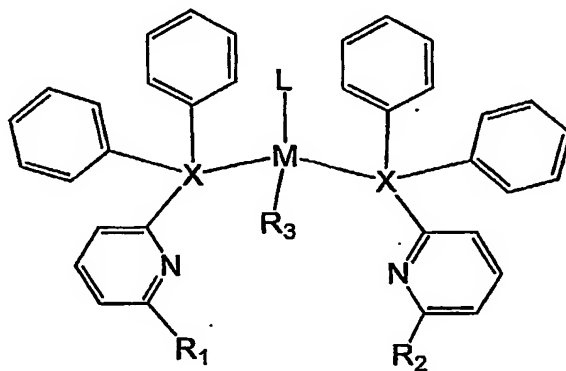
In one useful embodiment, a ligand covalently links an N heterocycle with a phosphorous heteroatom outside the heterocyclic ring. A ligand may covalently link one or more phenyl, heteroryl, or alkyl groups with a heteroatom, for example, a phosphorous heteroatom, outside the heterocyclic ring. In one embodiment, a ligand covalently links an N heterocycle and one or more phenyl groups, for example, to two phenyl groups with a phosphorous heteroatom outside the heterocyclic ring.

A transition metal of the present invention may be partially complexed by at least one of the present organic ligands. The transition metal may be a metal selected from Group IB metals, Group IIB metals, Group IIIB metals, Group IVB metals, Group VB metals, Group VIB metals, Group VIIB metals and Group VIIIB metals. Preferably, the transition metal is selected from chromium, manganese, iron, cobalt, nickel, copper, zinc, zirconium, niobium, molybdenum, ruthenium, rhodium, palladium, silver, hafnium, tantalum, tungsten, rhenium, osmium, iridium, platinum and gold. In one particularly useful embodiment, the transition metal is ruthenium. In one embodiment, ruthenium is a transition metal useful for alkyne hydration.

One particularly useful transition metal complex of the present invention is shown by the following

structures, wherein "R₁" and "R₂" are independently selected from hydrogen or alkyl or aryl. In a particularly useful embodiment, R₁ and R₂ are t-butyl. R₃ may be a hydrogen, alkyl, aryl, halide, water, alcohol, amine, nitrile or derivatives thereof. In one
5 embodiment, R₃ is a nitrile, for example, an acetonitrile. X is a heteroatom which may be for example, a nitrogen atom (N), an oxygen atom (O), a sulfur atom (S), an arsenic atom (As), or an antimony atom (Sb). The chemical bonds to the one or more
10 heteroatoms present in the transition metal complex would be appropriate for each particular heteroatom present in the transition metal complex. In one particularly useful embodiment, X is a phosphorus atom
15 (P). In addition, the transition metal shown in the following structures is attached to a ligand or ligands L, which can be selected from compounds such as halide ion(s), nitrile(s), alkene(s), phosphine(s), carbon monoxide(s), arenes (such as benzene), or
20 tris(pyrazolyl)borate derivatives. In a particularly useful embodiment, the ligand L is a derivative of cyclopentadienyl anion, such as C₅H₅ itself, or substituted derivatives thereof. In an especially useful embodiment, the ligand L is C₅H₅.

25



The present transition metal complexes preferably are soluble in the liquid medium in which such complexes are present or are used. The organic ligands may include one or more substituents, for example, one or more polar substituents and/or non-polar substituents, effective to increase the solubility of the ligand/transition metal complex in a certain liquid medium. In addition, the present compositions may include one or more other or additional components, such as silver or thallium salts, acids, bases and the like, in an amount effective to interact with or otherwise affect the complex, for example, to activate the complex and/or to enhance the activity of the complex to facilitate a desired chemical reaction.

The present invention includes within its scope the present ligands, and complexes as described herein and any and all substituted counterparts thereof. For example, unless otherwise expressly disclosed to the contrary, one or more of the hydrogen (H) substituents included in the present ligands can be replaced by another monovalent radical, such as a hydrocarbyl radical. Such substituted ligands, as well as the ligands with the hydrogen substituents, are included within the scope of the present invention. In addition, any and all isomers, tautomers, enantiomers, and mixtures thereof of the present ligands are included within the scope of the present invention.

Examples of monovalent radicals that may be included as substituents in the present ligands, for example, as the R groups, include, but not limited to, monovalent hydrocarbon or hydrocarbyl groups, such as alkyl, alkenyl, alkynyl, aryl, alkyl aryl, alkenyl aryl, alkynyl aryl, aryl alkyl, aryl alkenyl, aryl alkynyl and cyclic monovalent hydrocarbon groups; halo such as F, Cl, Br and I; NH_2 ; NO_2 ; alkoxy; alkylthio; aryloxy;

arylthio; alkanoyl; alkanoyloxy; aroyl; aroyloxy;
acetyl; carbamoyl; alkylamino; dialkylamino; arylamino;
alkylarylamino; diarylamino; alkanoylamino;
alkylsulfinyl; alkylsulfenyl; alkylsulfonyl;
5 alkylsulfonylamido; azido; benzyl; carboxy; cyano;
guanyl; guanidino; imino; phosphinyl; silyl; thioxo;
uredido or vinylidene or where one or more carbon atoms
are replaced by one or more other species including, but
not limited to, N, O, P, or S.

10 The present invention includes methods for
producing a hydrolysis product. Such methods comprise
contacting a hydrolysis reactant in the presence of a
composition in accordance with the present invention in
an amount effective to facilitate the hydrolysis of the
15 hydrolysis reactant to the hydrolysis product. This
contacting occurs at effective hydrolysis conditions.
Such hydrolysis reaction conditions vary widely
depending on many factors, such as the reactants and
complex being employed, the concentrations of the
20 reactants and complex, the desired product and other
factors. However, such reaction conditions are not of
critical importance in the present invention and may be
selected from conditions conventionally used in similar
reactions. Therefore, a detailed presentation of such
25 conditions is not set forth herein.

The hydrolysis reactant preferably is selected from
compounds including amide bonds, nitriles, phosphate
esters, and cyanide ions.

30 Compounds including amide bonds which may be
hydrolyzed in accordance with the present invention
include, but are not limited to, formamide, acetamide,
N-methylacetamide, N,N-dimethylacetamide, N,N-
diethylacetamide, propionamide, N-methylpropionamide,
N,N-dimethylpropionamide, N,N-diethylpropionamide,
35 butyramide, N-methylbutyramide, N,N-dimethylbutyramide,
acrylamide, N-methylacrylamide, N,N-dimethylacrylamide,

benzamide, N-methylbenzamide, N,N-dimethylbenzamide, N,N-diethylbenzamide, o-, m-, and p-toluamides and their N-alkylated derivatives, acetanilide, o-, m-, and p-acetotoluidides, 2-acetamidophenol, 3-acetamidophenol, 4-acetamidophenol, N-acylated amino acids, glycyglycine, alanylalanine, and other polypeptides and proteins.

Nitriles which may be hydrolyzed in accordance with the present invention include, but are not limited to, linear or branched saturated aliphatic C₂-C₁₈ mono- and C₃-C₁₉ dinitriles and phenyl derivatives thereof, C₄-C₁₃ saturated aliphatic mono- and C₅-C₁₄ dinitriles, C₃-C₁₈ linear or branched olefinically unsaturated aliphatic nitriles, C₆-C₁₃ olefinically unsaturated alicyclic nitriles, C₇-C₁₄ aromatic mono- and dinitriles, C₆-C₈ heterocyclic nitrogen and oxygen mononitriles, C₃-C₄ cyanoalkanoic amides, C₂-C₁₂ saturated aliphatic cyanohydrins or hydroxynitriles, and mixtures of the above-described nitriles.

Specific examples include, but are not limited to, acetonitrile, propionitrile, butyronitrile, acrylonitrile, benzonitrile, and substituted derivatives.

Phosphate esters which may be hydrolyzed in accordance with the present invention include, but are not limited to, trialkyl phosphates, triaryl phosphates, dialkyl aryl phosphates, alkyl diaryl phosphates, dialkyl phosphates including DNA and RNA derivatives, diaryl phosphates, alkyl aryl phosphates, alkyl phosphates, aryl phosphates, and analogous phosphonic acid derivatives.

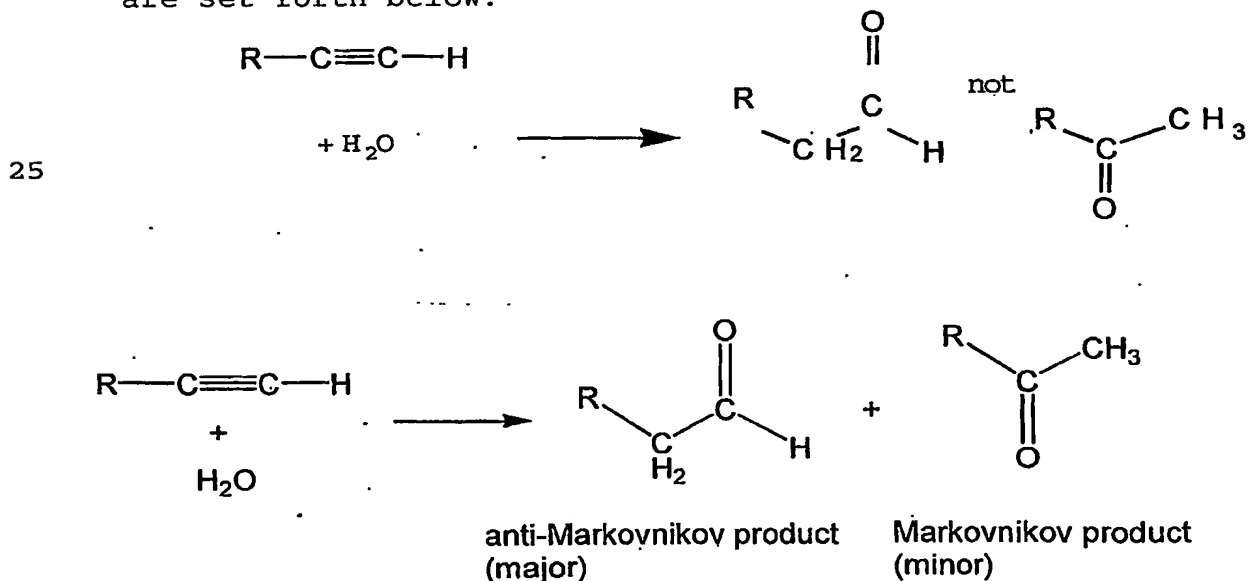
Further, the present invention includes methods for converting carbon dioxide. Such methods comprise contacting carbon dioxide in the presence of a composition in accordance with the present invention in an amount effective to facilitate the conversion of the

carbon dioxide to a conversion product. The contacting occurs at effective carbon dioxide conversion conditions. Such reaction conditions vary widely depending on many factors, such as the complex being employed, concentrations of the carbon dioxide and complex, the desired product and other factors. However, such conditions are not critical in the present invention and may be selected from conditions conventionally utilized in similar carbon dioxide conversion reactions. Therefore, a detailed presentation of such conditions is not set forth here.

The carbon dioxide conversion product preferably is selected from ureas, carbamates and carbonates.

Another group of chemical reactions facilitated by the present metal complexes is illustrated by the reaction of alkenes with water to produce the corresponding alcohol.

Without wishing to limit the invention to any particular theory of operation, representative reactions and conditions for the hydration of terminal alkynes are set forth below:



Surprisingly, ligands of the present invention are capable of efficiently performing this reaction at room temperature.

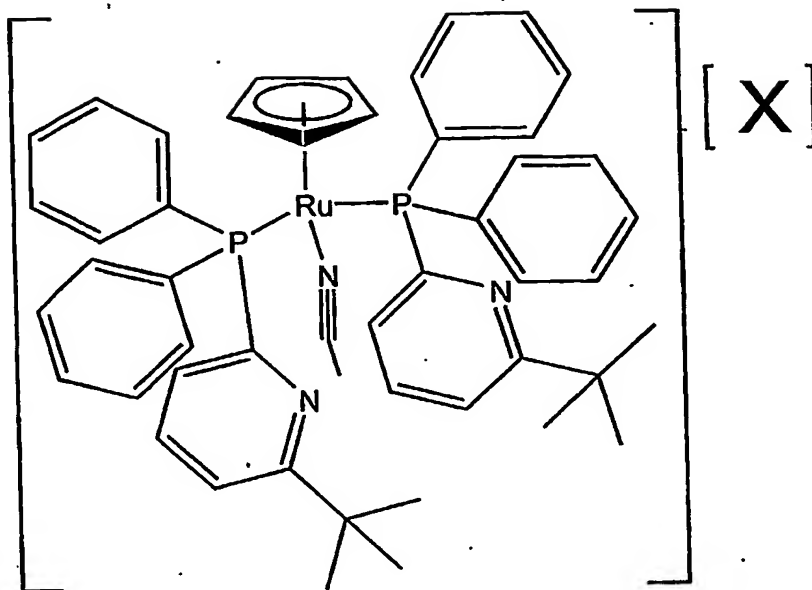
The present ligands can be produced from inexpensive and readily available materials, using chemical synthesis techniques well known in the art.

The following non-limiting examples illustrate certain aspects of the present invention.

EXAMPLE 1Production of [Cyclopentadienylruthenium(II) bis(2-diphenylphosphino-6-t-butylpyridine) (acetonitrile)][X]

5 5 mL of dry, deoxygenated methylene chloride was added to a 50-mL Schlenk flask containing 0.70 mmol of [cyclopentadienyl ruthenium(II) tris(acetonitrile)][X] (X = PF_6^- or CF_3SO_3^-) under nitrogen. 5 ml of a solution containing 446 mg or 1.40 mmol of 2-diphenylphosphino-6-t-butylpyridine in dry, deoxygenated methylene chloride was added to the flask and the mixture was stirred for 5 h at room temperature. The solvents were removed under high vacuum leaving behind a yellow solid. The solid was washed with 5 mL of deoxygenated pentane two times and then dried under high vacuum producing a yellow microcrystalline powder.

X = PF_6^- , 685 mg, 0.69 mmol, 99%. Data for the PF_6^- , salt: ^1H NMR (CDCl_3 , 500 MHz) δ 7.44 (tt, $J = 8.0$, 1.7 Hz, 2 H), 7.42-7.36 (m, 4 H), 7.31-7.35 (m, 4 H), 7.30 (dq, $J = 8.0$, 1.1 Hz, 2 H), 7.26 (t, $J = 7.5$ Hz, 4 H), 7.13-7.18 (m, 8 H), 6.65 (dm, $J = 7.5$ Hz, 2 H), 4.46 (t, $J = 1.0$ Hz, 5 H), 2.21 (t, $J = 1.2$ Hz, 3 H), 1.33 (s, 18 H) ppm. Selected $^{13}\text{C}\{^1\text{H}\}$ NMR data (CDCl_3 , 125 MHz) δ 169.7 (vt, $N_{\text{CP}} = 14.0$ Hz), 135.0 (vt, $N_{\text{CP}} = 10.4$ Hz), 133.8 (vt, $N_{\text{CP}} = 9.4$ Hz), 130.3, 129.9, 129.4, 128.2 (vt, $N_{\text{CP}} = 9$ Hz), 128.1 (vt, $N_{\text{CP}} = 9$ Hz), 125.1 (vt, $N_{\text{CP}} = 21$ Hz), 119.2, 83.0 (t, $J_{\text{CP}} = 1.9$ Hz), 38.2, 30.3, 4.51 ppm. For phosphines: $^{31}\text{P}\{^1\text{H}\}$ NMR (CDCl_3 , 200 MHz) δ 41.46 ppm. IR (NaCl , CDCl_3) 3063, 2967, 2867, 2271, 1711, 1575, 1558, 1480, 1436, 1385, 1361, 1187, 1168, 1145, 999, 988 cm^{-1} .



[Cyclopentadienylruthenium(II) bis(2-diphenylphosphino-6-t-butylpyridine) (acetonitrile)] [X]

5

EXAMPLE 2

Hydration of 1-Nonyne Utilizing

[Cyclopentadienylruthenium(II) bis(2-diphenylphosphino-6-t-butylpyridine) (acetonitrile)] [X]

10

A 2-mL vial was charged with 0.0100 mmol of [Cyclopentadienylruthenium(II) bis(2-diphenylphosphino-6-t-butylpyridine) (acetonitrile)] [X], 0.500 mmol of 1-nonyne and 0.0500 mL hexadecane. A solvent system, either 3:1 (v/v) i-propanol/water or acetone with 2.50 mmol water, was then added such that the total final volume was 1.00 mL. The reaction was then heated in a 96-well monoblock heating apparatus. Periodically, 0.0100 mL samples were removed from the reaction

15

20

D-3093PROV

16

mixture, diluted with acetone, and monitored using gas chromatography and an FID detector. Hydration product concentrations were determined using FID response factors calculated from standard solutions.

5

10

EXAMPLE 3**Comparison of Initial Rates of the Hydration of
1-Nonyne and Phenylacetylene by Certain Catalysts**5 **Comparison of initial rates of the hydration of 1-nonyne**

Rates are expressed as % conversion per % catalyst per hour

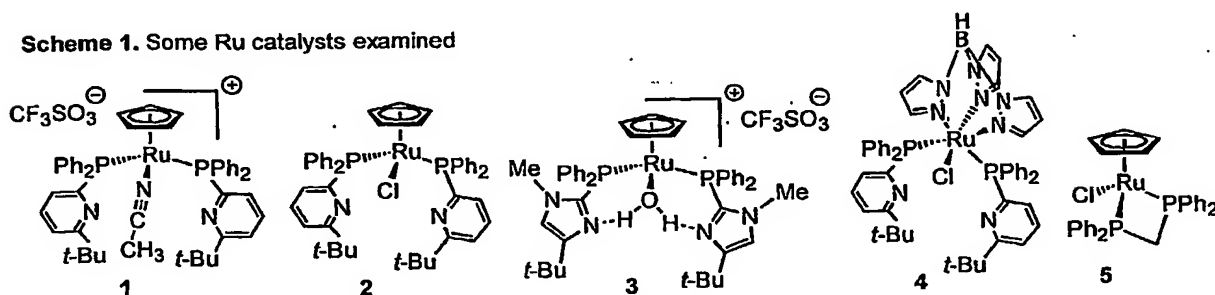
Catalyst	Acetone @ 70 °C	Iso-propanol/H ₂ O (3:1 v/v) @ 70 °C
2% CpRu(Ph ₂ PtButPyr) ₂ (CH ₃ CN) ⁺ (1)	23.6235	36.0595
2% CpRu(Ph ₂ PtButPyr) ₂ Cl (2)	2.44825	nd
2% CpRu(Ph ₂ PtButImid) ₂ (H ₂ O) ⁺ (3)	1.8807	nd
2% TpRu(Ph ₂ PtButPyr) ₂ Cl (4)	0.8175	nd
2% CpRu(dppm)Cl (5)	0.0206	0.03442

10

**Comparison of initial rates of the hydration of
Phenylacetylene**

Catalyst	Acetone @ 70 °C
2% CpRu(Ph ₂ PtButPyr) ₂ (CH ₃ CN) ⁺ (1)	5.8535
2% CpRu(Ph ₂ PtButPyr) ₂ Cl (2)	1.8876
2% CpRu(Ph ₂ PtButImid) ₂ (H ₂ O) ⁺ (3)	nd
2% CpRu(dppm)Cl (5)	nd

15

Scheme 1. Some Ru catalysts examined

These data demonstrate the exceptional ability of catalyst 1 to perform an anti-Markovnikov hydration of terminal alkynes to aldehydes relative to other catalysts analyzed.

5 Catalyst 5 is a very exceptional catalyst previously reported by others in the literature (Suzuki, Tokunaga, and Wakatsuki, *Org. Lett.* 2001, 3, 735-737). Note that catalyst 1 hydrates nonyne at least 1000 times faster than catalyst 5, whether the reaction is
10 performed in iso-propanol/H₂O (3:1 v/v) or in acetone containing 5 equiv of water.

Catalyst 3 was previously described in *Angew. Chem., Int. Ed. Engl.* 2001, 40, 3884-3887 disclosed in pending U.S. Patent Application Serial No. 09/785,911,
15 filed February 16, 2001, which is incorporated in its entirety herein by reference.

EXAMPLE 4

Comparison of Initial Rates of the 20 Hydration of 1-Nonyne

Comparison of the initial rates of hydration of 1-nonyne at room temperature by: 1) 2%
25 CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺, in acetone plus 5 equivalents of H₂O; 2) 5% CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺, in acetone plus 5 equivalents of H₂O; 3) 2% CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺, in an iso-propanol/H₂O solution (3:1 v/v); 4) CpRu(Ph₂PtButImid)₂(H₂O)⁺ in acetone plus 5 equivalents

of H₂O; and 5) CpRu(dppm)Cl in acetone plus 5 equivalents of H₂O are shown below.

5 Table 1. Room-temperature hydration of 1-nonyne^a

Time (h)	2 mol % 1 CpRu(Ph ₂ PtButPyr) ₂ (CH ₃ CN)	5 mol % 1 CpRu(Ph ₂ PtButPyr) ₂ (CH ₃ CN)	2 mol % 1 ^b CpRu(Ph ₂ PtButPyr) ₂ (CH ₃ CN)	2 mol % 3 CpRu(Ph ₂ PtButImid) ₂ (H ₂ O) ⁺	2 mol % 5 CpRu(dppm)Cl
0	0	0	0	0	0
5.5	13.6	30.2	8.8	0	0
8	nd	nd	nd	0	0
19	36.0	65.5	26.7	0	0
48	56.6	98.6	51.5	0	0
96	nd	nd	nd	<1%	0

^a Unless otherwise indicated, solvent was acetone plus 5 equivalents of H₂O. ^b Solvent was i-PrOH-H₂O (3:1 v/v). The catalysts are numbered in bold and correspond to catalysts 1 to 5 in Example 3.

10

The graph below shows the hydration of 1-nonyne at room temperature by: 1) 2% CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺ in acetone plus 5 equivalents of H₂O; 2) 5%
 15 CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺ in acetone plus 5 equivalents of H₂O; and 3) 2% CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺ in an isopropanol/H₂O solution (3:1 v/v).

Based on the data in this example, it can be seen that the CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺ catalyst is effective
 20 to efficiently hydrate 1-nonyne. More than 98% of 1-nonyne is hydrated within a 48 h period when reacted in the presence of 5% CpRu(Ph₂PtButPyr)₂(CH₃CN)⁺ in acetone plus 5 equivalents of H₂O.

D-3093PROV

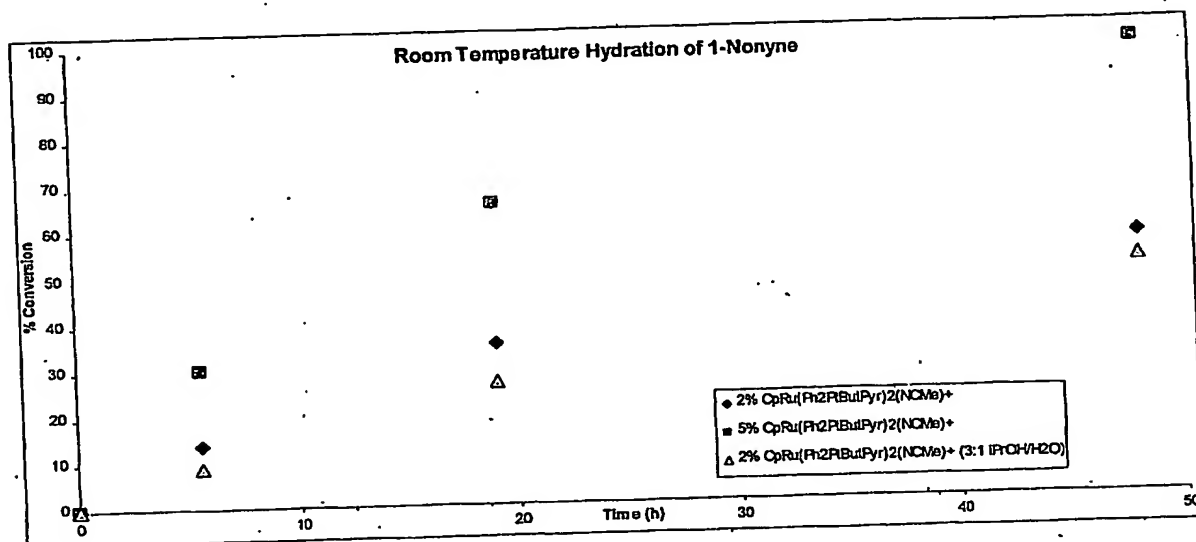
20

$\text{CpRu}(\text{Ph}_2\text{PtButPyr})_2(\text{CH}_3\text{CN})^+ = \text{catalyst 1 in Example 3}$

$\text{CpRu}(\text{Ph}_2\text{PtButImid})_2(\text{H}_2\text{O})^+ = \text{catalyst 3 in Example 3}$

$\text{CpRu}(\text{dppm})\text{Cl} = \text{catalyst 5 in Example 3}$

5



10

Exemplary Disclosure in Claim Format:

- 5 1. A compound comprising at least two different heteroatoms selected from the group consisting of N, P, S, O, As, and Sb, a heterocycle and a transition metal wherein the compound is effective to facilitate a reaction at room temperature.
- 10 2. The compound of claim 1 wherein the different heteroatoms are P and N.
- 15 3. The compound of claim 1 wherein the heterocycle is a substituted or unsubstituted pyridine group.
4. The compound of claim 1 further comprising a phenyl group.
- 20 5. The compound of claim 1 wherein the transition metal is Ru.
6. The compound of claim 1 wherein the reaction is a hydration reaction.
- 25 7. The compound of claim 1 wherein the reaction is a hydrolysis reaction.